

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Peter T. Aylward, et al

SURFACE ROUGHNESS  
FREQUENCY TO CONTROL PITS  
ON FOAM CORE IMAGING  
SUPPORTS  
Serial No.

Group Art Unit:

Examiner:

Express Mail Label No. EV293529028US

February 27, 2004  
Date

Filed

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION**  
**BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99**

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. A full text copy of each document is attached, except for copies of U.S. patents and U.S. patent application publications. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

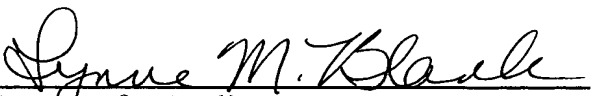
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants  
Registration No. 42,334

Lynne M. Blank/ct  
Telephone: 585-477-7418  
Facsimile: 585-477-1148  
Enclosures

<b>FORM PTO-1449</b> <i>US DEPARTMENT OF COMMERCE</i> <i>PATENT AND TRADEMARK OFFICE</i>		<i>Atty. Docket No.</i> <b>84886LMB</b> <i>Customer No.</i> 01333		<i>Serial No.</i>	
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee		<i>Applicant:</i> <b>Peter T. Aylward, et al</b>			
<b>LIST OF ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		<i>Filing Date</i>		<i>Group</i>	

U.S. PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,299,192	01-17-1967	John H. Lux			
	3,864,444	02-04-1975	Johnson			
	4,098,941	07-04-1978	Johnson			
	4,456,571	06-26-1984	Johnson			
	4,832,775	05-23-1989	Park et al.			
	5,084,334	01-28-1992	Hamano et al.			
	5,277,852	01-11-1994	Spydevold			
	5,667,740	07-16-1997	Spydevold			
	5,674,442	10-07-1997	Morita			
	5,851,651	12-22-1998	Chao			
	5,866,282	02-02-1999	Bourdelaïs et al.			
	6,077,065	06-20-2000	Sumiyoshi et al.			
	6,447,976 B1	09-10-2002	Dontula et al.			
	6,514,659 B1	02-04-2003	Dontula et al.			
	6,514,660 B1	02-04-2003	Majumdar et al.			
	6,537,656 B1	03-25-2003	Dontual et al.			
	6,566,033 B1	05-20-2003	Majumdar et al.			
	2003/0031747 A1	02-13-2003	Becker			
	2003/0031748 A1	02-13-2003	Becker			
	2003/0036479 A1	02-20-2003	Majumdar et al.			
	2003/0073577 A1	04-17-2003	Majumdar et al.			
	2003/0118750 A1	06-26-2003	Bourdelaïs et al.			
	2003/0118807 A1	06-26-2003	Laney et al.			
	2003/0123150 A1	07-03-2003	Brickey et al.			
	2003/0128313 A1	07-10-2003	Kaminsky et al.			
	2003/0152760 A1	08-14-2003	Lai et al.			
	2003/0219610 A1	11-27-2003	Aylward et al.			
	2003/0219663 A1	11-27-2003	Sunderrajan et al.			
	2003/0232188 A1	12-18-2003	Savage et al.			

FOREIGN PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1 285 741 A1	02-26-2003	EP				X
	0 838 321 B1	12-10-2003	EP				X
	2839905 B2	12-24-1998	JP			X	
	3308733 B2	07/29/2002	JP			X	
	99/12722	03-18-1999	WO				X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	EP01890228.8 application, filed 7/2/2001, now publication EP1285741A1
	Japanese Abstract JP04-327937
	Japanese Abstract JP08-132415
	Japanese Abstract JP09-106038
	Japanese Abstract JP09-127648
	Japanese Abstract JP10-249915
	Japanese Abstract JP11-023814
	To RC or Not to RC, Crawford, Gray and Parsons, Journal of Photographic Engineering, pages 110-117 (1979)
	Co-pending USSN _____, Aylward et al., <i>Polymer Foam Surface Smoothing Materials And ethod</i> , (D-85377)

EXAMINER	DATE CONSIDERED
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	